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June 27, 2003



UTILITY PATENT APPLICATION TRANSMITTAL (new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: PHA 4199.1

First Named Inventor: John S. Ng

Title: PROCESS FOR PREPARATION OF 9,11-EPOXY STEROIDS AND
INTERMEDIATES USEFUL THEREIN

Express Mail Label Number: EV 327056077 US

TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 270]
4. ☒ Drawings [Total Sheets 5]
5. Oath or Declaration [Total Pages 8]
 - a. ☐ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☒ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

6. ☒ Incorporation By Reference
(useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO/SB/O8A ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION

19. ☐ Continuation ☒ Divisional ☐ Continuation-in-Part of prior application No.: 09/943,117, filed August 20, 2001, which is a divisional application of Serial No. 09/246,204, filed February 8, 1999, now U.S. Patent No. 6,331,622, which is a divisional application of Serial No. 08/763,910, filed December 11, 1996, now U.S. Patent No. 5,981,744, which claims priority from U.S. Provisional Application Serial No. 60/008,455, filed December 11, 1995.

[] Complete Application based on provisional Application
No. _____

Prior application information: Examiner: Barbara Badio
Group Art Unit: 1616

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: Patricia K. Fitzsimmons

Respectfully submitted,

Patricia K. Fitzsimmons

Patricia K. Fitzsimmons, Reg. No. 52,894

PKF

FEE TRANSMITTAL

Application Number TBA
Filing Date June 10, 2003
Confirmation No. TBA
Inventor(s) John S. Ng et al.
Group Art Unit TBA
Examiner Name TBA
Attorney Docket Number PHA 4199.1

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☒ BASIC FILING FEE Subtotal (1) \$ 750.00
(Type: Utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$ 2,310.00
Total Claims 69
Independent Claims 20
Multiple Dependent Claims 0
3. ☐ ADDITIONAL FEES Subtotal (3) \$ _____
☐ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 3,060.00

Patricia K. Fitzsimmons
Patricia K. Fitzsimmons, Reg. No. 52,894

June 27, 2003
Date

PKF

Express Mail Label No. EV 327056077 US